

## Securities Services Charges of Fubon Bank

| Service Item  | Fee   | (Effective 1 October 2010)  |
|---|---|---|
| <b>Hong Kong Stock</b>                                |   |   |
| <b>Trade-related Services</b>                         |   |   |
| Brokerage commission                                  |   |   |
| Fubon automated trading platform                      |   |   |
| - Internet stock trading service                      | 0.25%   | on transaction amount (min. HK\$80)   |
| - Automated stock trading hotline                     | 0.25%   | on transaction amount (min. HK\$80)   |
| Manned stock trading service                          |   |   |
| - Manned service                                      | 0.25%   | on transaction amount (min. HK\$100)  |
| Transaction levy                                      | 0.003%  | on transaction amount   |
| Trading fee   | 0.005%  | on transaction amount   |
| CCASS fee   | 0.002%  | on transaction amount (min. HK\$2)  |
| Stamp duty  | 0.1%  | on transaction amount (HK\$1 for each HK\$1,000 or part thereof, rounding up to nearest dollar) |
| <b>Scrip Handling and Settlement-related Services</b> |   |   |
| CCASS stock settlement fee                            | 0.002%  | on transaction amount (min. HK\$2, max. HK\$100)  |
| Money settlement fee                                  | Free  |   |
| Physical scrip deposit fee                            | HK\$1 per board lot of shares (odd lot counted as board lot) + Transfer deed HK\$5 per item   |   |
| Physical scrip withdrawal fee                         | HK\$5 per board lot per stock (min. HK\$30) + CCASS charge at HK\$3.5 / lot   |   |
| Deposit transaction charge (via CCASS)*               | HK\$5 per board lot per stock (odd lot counted as board lot) + CCASS fee 0.002% on transaction amount (min. HK\$2, max. HK\$100) + S.I. fee HK\$1 / transaction |   |
| Withdrawal transaction charge (via CCASS)*            | HK\$10 per board lot for each stock (min. HK\$30) + CCASS fee 0.002% on transaction amount (min. HK\$2, max. HK\$100) + S.I. fee HK\$1 / transaction            |   |
| Settlement instruction fee                            | HK\$1 per each transaction  |   |
| Investor settlement instruction fee                   | Free  |   |
| Compulsory share buy-back fee                         | Handling fee HK\$200 + CCASS default fee + charges incurred   |   |
| <b>Account Maintenance</b>                            |   |   |
| Custody fee   | HK\$0.15 per board lot or part thereof (min. HK\$100), charge on portfolio at June 1 and December 1 on each year  |   |
| Stock balance or account confirmation fee             | HK\$50 per page   |   |
| Dormant account fee                                   | Free  |   |
| Stock segregated account service fee                  | Free  |   |
| <b>Nominee Services and Corporate Action</b>          |   |   |
| Scrip fee   | HK\$2.5 per board lot   |   |
| Collection fee of cash dividend                       | 0.5% on dividend collected (min. HK\$30)  |   |
| Collection fee of scrip dividend                      | HK\$5 per board lot per deposit (max. HK\$100)  |   |
| Collection fee of bonus issue                         | HK\$5 per board lot per deposit (max. HK\$100)  |   |

| Service Item  | Fee  |
|---|--|
| Stamp duty on transfer deed   | HK\$5 per transfer deed  |
| Cash offer / privatisation handling charge                            | 0.5% on cash consideration (min. HK\$20, max. HK\$2,500) + C.A. fee HK\$0.8 / lot  |
| Fee for claiming unclaimed benefit entitlement                        | HK\$200 per dividend distribution claim<br>- The charge is applicable for either valid or invalid claim<br>- Transaction charge shall apply for scrip released<br>Scrip deposit charge HK\$5 / lot / stock (min. HK\$30, max. HK\$100) + cash / scrip / bonus dividend collection fee + CCASS charges + company registration charges |
| Conversion of warrants  | HK\$300 per transaction  |
| Covered warrants exercise (automatic)                                 | 0.25% on cash amount (min. HK20, charges over HK\$2,500 negotiable)  |
| Covered warrants exercise / callable bull / callable bear exercise    | 0.5% on cash amount (min. HK\$30, max. HK\$2,500) + C.A. fee HK\$0.8 / lot   |
| Corporate action fee  | HK\$0.8 per board lot  |
| Registration charges  | HK\$5 per board lot (min. HK\$100) + company registration fee + stamp duty + courier charges (overseas applicable)   |
| Exercise of warrants / right issue                                    | HK\$2 / lot (min. HK\$30) + C.A. fee HK\$0.8 / lot   |
| Subdivision / consolidation / conversion of shares                    | HK\$10 / transaction   |
| <b>Financing and Other Services</b>                                   |  |
| Securities subscription handling charge                               | HK\$100 per application  |
| Financing charge for subscription of securities                       | Negotiable   |
| <b>Information Services (Service Provider : AAStocks.com Limited)</b> |  |
| Real-time snapshot quote  |  |
| - First 300 quotes per month  | Free   |
| - Per extra quote   | HK\$0.07 per quote   |
| Real-time streaming quote   | HK\$320 per month  |
| Automated stock trading hotline real-time snapshot quote              | Free   |

| <b>US Stock*</b>                        |   |
|---|---|
| Brokerage commission                    | 0.3% on transaction amount (min. US\$35)                            |
| Sales tax                               | 0.00257%  |
| Collection fee of scrip / cash dividend | 30% withholding tax will be deducted                                |
| Semi-annual custody fee                 | 0.03% on market value of last business day of semi-annual portfolio |
| Settlement currency                     | HKD / USD*  |

| <b>Taiwan Stock* (Fee Settled in HK Dollar / US Dollar)</b> |  |
|---|--|
| Brokerage commission  | 0.3% on transaction amount (min. NT\$450 / approximate HK\$112.5*)   |
| Sales tax (for sell only)                                   | Equity - 0.3% on transaction amount<br>Exchange Trade Funds - 0.1% on transaction amount                                 |
| Handling charges from custodian bank                        | NT\$900 / approximate HK\$225* (max. two times (buy / sell each) per stock each day)                                     |
| Custody fee   | Daily portfolio value x 0.1% / 365<br>Min. NT\$180 / approximate HK\$45* per month (to be charged each calendar quarter) |
| Remittance fee  | NT\$1,000 / approximate HK\$250* per transaction   |
| Collection fee of scrip / cash dividend                     | 0.5% on dividend collected (min. NT\$600 / approximate HK\$150*) + 20% withholding tax will be deducted                  |
| Settlement currency   | HKD / USD*   |

| <b>Japan Stock*</b>  |  |
|----------------------|--|
| Brokerage commission | 0.4% on transaction amount (min. JPY4,000) |
| Settlement currency  | Japanese Yen*                              |

| <b>Singapore Stock*</b> |  |
|-------------------------|--|
| Brokerage commission    | 0.75% on transaction amount (min. SGD 150) |
| Clearing fee            | 0.04% on transaction amount (max. SGD 600) |
| SGX fee                 | 0.0075% on transaction amount              |
| Settlement currency     | HKD / USD*                                 |

| <b>China B (Shenzhen)*</b> |  |
|----------------------------|--|
| Brokerage commission       | 0.4% on transaction amount (min. HK\$300)  |
| Stamp duty                 | 0.1% on transaction amount                 |
| Transaction fee            | 0.05% on transaction amount (max. HK\$500) |
| Trading fee                | 0.0301% on transaction amount              |
| Registration fee           | 0.004% on transaction amount               |
| Settlement currency        | HKD  |

| <b>China B (Shanghai)*</b> |  |
|----------------------------|--|
| Brokerage commission       | 0.4% on transaction amount (min. US\$70) |
| Stamp duty                 | 0.1% on transaction amount               |
| Transaction fee            | 0.05% on transaction amount              |
| Trading fee                | 0.0301% on transaction amount            |
| Settlement currency        | USD*                                     |

\* For reference only and will be subject to the exchange rate provided by Fubon Bank. The exchange rate will fluctuate from time to time.

\* For the deposit / withdrawal transaction charge for overseas stock, please call us on (852) 2842 7575 for details.

## Contact Fubon Securities Services

### Business Hours

Monday - Friday  
(except Public Holidays)  
8:30am - 5:30pm

### Securities Services Hotlines

2842 6262 (Hong Kong)  
00801 855 978 (Taiwan)

## Securities Services Centres

Central

2842 6161

Yuen Long

2869 6389

Note :

The service charges contained in this fee table shall be subject to adjustment. Fubon Bank (Hong Kong) Limited reserves the right to revise or add any service charge. For charges not contained in this fee table, please contact our branch staff for details. All fees and charges determined by the Government of Hong Kong Special Administration Region / Hong Kong Exchanges and Clearing Limited / Securities and Futures Commission / Hong Kong Securities Clearing Company Limited / Stock Exchange of Hong Kong Limited / General Rules of the Hong Kong Association of Banks are subject to change without prior notice.