

Date: 30 June 2014

Dear Customer,

**CHANGES TO THE “GLOBAL TERMS AND CONDITIONS FOR ACCOUNTS AND SERVICES”, “GLOBAL RISK DISCLOSURE STATEMENT”, “GENERAL RISK DISCLOSURE STATEMENTS FOR DERIVATIVE WARRANTS, CALLABLE BULL/BEAR CONTRACTS AND SYNTHETIC EXCHANGE TRADED FUNDS” AND “IMPORTANT NOTICE”**

Please note that **with effect from 1 August 2014 (the “Effective Date”)**, the “Global Terms and Conditions for Accounts and Services”, “Global Risk Disclosure Statement”, “General Risk Disclosure Statements for Derivative Warrants, Callable Bull/Bear Contracts and Synthetic Exchange Traded Funds” and “Important Notice” distributed to you during your execution of the Securities Account Opening Application with our Bank will be revised. The subject documents are now incorporated into our Global Terms and Conditions for Accounts and Services.

You are invited to approach any of our branches to collect a set of the new Global Terms and Conditions for Accounts and Services (“Global T&C”) or to read this through our website [www.fubonbank.com.hk](http://www.fubonbank.com.hk) after the Effective Date.

We would like to highlight the key amendments as below for your attention:

1. Deletion of Clause 14 in Section III E of the Global T&C
2. Addition of “Investment Products Nominee Services” (Please refer to Clause 15 of Section III E of the Global T&C)
3. Addition of “Risk Disclosure” (Please refer to Clause 23 of Section III E of the Global T&C)
4. Addition of disclosure of “Risks of investing in RMB securities” (Please refer to Clause 23.3 of Section III E of the Global T&C)
5. Addition of disclosure of “Risk of providing an authority to repledge the Customer’s securities collateral etc.” (Please refer to Clause 23.9 of Section III E of the Global T&C)
6. Addition of disclosure of “Risk of Trading in leveraged foreign exchange contracts” (Please refer to Clause 23.10 of Section III E of the Global T&C)
7. Addition of disclosure of risks on “Additional Risk for Bonds Trading - General” (Please refer to Clause 23.13.2 of Section III E of the Global T&C)
8. Addition of disclosure of risks on “Structured Products” (Please refer to Clause 23.14 of Section III E of the Global T&C)
9. Addition of disclosure of risks on “Exchange Traded Funds” (Please refer to Clause 23.18 of Section III E of the Global T&C)
10. Addition of additional disclosure of risks on “Synthetic Exchange Traded Funds” (Please refer to Clause 23.19 of Section III E of the Global T&C)

**Clause arrangement**

The existing Clause 15 of Section III E shall be renumbered as Clause 14 of Section III E of the Global T&C.

Please note that the above amendment shall be binding on Customer if Customer continues to use or retain the Account and/or Services on or after the Effective Date. If Customer does not accept the above amendment, please refer to the applicable terms and conditions and notify the Bank before the Effective Date. The Bank may however not be able to continue providing services to you if Customer does not accept the above amendment.

For any enquiries, please call our Customer Service Hotline at 2566 8181 during office hours.

**Fubon Bank (Hong Kong) Limited**

Remarks: Should there be any inconsistency between the English and Chinese versions, the English version shall prevail.