

To 致：Fubon Bank (Hong Kong) Limited 富邦銀行(香港)有限公司 ("Fubon Bank"「富邦銀行」)  
38 Des Voeux Road Central, Hong Kong 香港中環德輔道中38號  
Ref. No. 參考編號：BR 159 09/2021

**CRS Self-Certification Form - Controlling Person**  
**共同匯報標準自我證明表格 - 控權人**

**Please read the Important Notes before completing the form.**

填寫自我證明表格前，請先閱讀下列重要提示。

**Important Notes 重要提示：**

- **This is a self-certification form provided by a controlling person to Fubon Bank for the purpose of automatic exchange of financial account information. The data collected may be transmitted by Fubon Bank to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.**

這是由控權人向富邦銀行提供的自我證明表格，以作自動交換財務賬戶資料用途。富邦銀行可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。

- **A controlling person should report all changes in his/her tax residency status to Fubon Bank.**

如控權人的稅務居民身分有所改變，應盡快將所有變更通知富邦銀行。

- **All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (\*) are required to be reported by Fubon Bank to the Inland Revenue Department.**

除不適用或特別註明外，必須填寫這份表格所有部分。如這份表格上的空位不夠應用，可另紙填寫。在欄/部標有星號(\*)的項目為富邦銀行須向稅務局申報的資料。

**This Important Notes does not constitute tax or legal advice. Please consult your independent tax or legal advisor if you have any question.**

此重要提示並非稅務或法律意見。閣下如有疑問，請諮詢獨立的稅務或法律專業意見。

**Controlling Person Tax Residency 控權人的稅務居民身分**

**Part 1 Identification of Controlling Person**

**第1部 控權人的身分識別資料**

**(1) Name of Controlling Person 控權人的姓名**

Title (e.g. Mr, Mrs, Ms, Miss)

稱謂（例如：先生、太太、女士、小姐）

Last Name or Surname \* 姓氏\*

First or Given Name \* 名字\*

Middle Name(s) 中間名

**(2) Hong Kong Identity Card, Taiwan Identity Card,**

**Passport Number or Others** (please place "✓" in the appropriate box and provide the document no. in the space provided)

香港身份證、台灣身份證、護照號碼或其他  
（請於適當空格內加“✓”號並於空位內提供文件號碼）

☐ Hong Kong Identity Card 香港身份證

☐ Taiwan Identity Card 台灣身份證

☐ Passport 護照

☐ Others 其他

**(3) Current Residence Address 現時住址**

Address Details 詳細地址

House No. 室

Building Level 樓層

Premises No. 大廈座數

Premises Name 大廈名稱

Street No. 街道號碼

Street Name 街道名稱

Estate 屋村 / Court 屋苑 / Suburb 地區

City\* 城市\*

State 省 / 縣 / 市

Country\* 國家\*

Postal Code 郵政編號

**(4) Mailing Address (Complete if different to the current residence address)**

通訊地址（如通訊地址與現時住址不同，填寫此欄）

Address Details 詳細地址

House No. 室

Building Level 樓層

Premises No. 大廈座數

Premises Name 大廈名稱

Street No. 街道號碼

Street Name 街道名稱

Estate 屋村 / Court 屋苑 / Suburb 地區

City 城市

State 省 / 縣 / 市

Country 國家

Postal Code 郵政編號

**(5) Date of Birth \* (dd/mm/yyyy)**

出生日期 \* (日/月/年)

**(6) Place of Birth**

出生地點

Country 國家

**Part 2 The Entity Account Holder of which you are a controlling person**

**第 2 部 你作為控權人的實體賬戶持有人**

Enter the name of the entity account holder of which you are a controlling person

填寫你作為控權人的實體賬戶持有人的名稱。

Name of the Entity Account Holder 實體賬戶持有人的名稱	Hong Kong Business Registration Number 香港商業登記號碼 / Certification of Incorporation Number (Applicable to Overseas Entity) 公司註冊證書號碼 (適用於海外實體)

**Part 3 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") \***

**第3部 居留司法管轄區及稅務編號或具有等同功能的識別編號（以下簡稱「稅務編號」）\***

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the controlling person is a **resident for tax purposes** and (b) the controlling person's TIN for each jurisdiction indicated. Indicate **all** (not restricted to five) jurisdictions of residence.

提供以下資料，列明（a）控權人的居留司法管轄區，亦即控權人的稅務管轄區（香港包括在內）及（b）該居留司法管轄區發給控權人的稅務編號。列出**所有**（不限於5個）居留司法管轄區。

**If the controlling person is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.**

如控權人是香港稅務居民，稅務編號是其香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C:

如沒有提供稅務編號，必須填寫合適的理由：

**Reason A -** The jurisdiction where the controlling person is a resident for tax purposes does not issue TINs to its residents.

理由 **A -** 控權人的居留司法管轄區並沒有向其居民發出稅務編號。

**Reason B -** The controlling person is unable to obtain a TIN. Explain why the controlling person is unable to obtain a TIN if you have selected this reason.

理由 **B -** 控權人不能取得稅務編號。如選取這一理由，解釋控權人不能取得稅務編號的原因。

**Reason C -** TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

理由 **C -** 控權人毋須提供稅務編號。居留司法管轄區的主管機關不需要控權人披露稅務編號。

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號， 填寫理由A、B或C	Explain why the controlling person is unable to obtain a TIN if you have selected Reason B 如選取理由B，解釋控權人不能取得稅務編號的原因
(1)			
(2)			
(3)			
(4)			
(5)			

**Additional Declaration (if applicable) 附加聲明 (如適用) :**

## Part 4 Type of Controlling Person

### 第4部 控權人類別

Tick the appropriate box to indicate the type of controlling person for each entity stated in Part 2.

就第2部所載的每個實體，在適當方格內加上✓號，指出控權人就每個實體所屬的控權人類別。

Type of Entity 實體類別	Type of Controlling Person 控權人類別	Entity 實體
Legal Person 法人	Individual who has a controlling ownership interest (i.e. more than the specified percentage ^ of issued share capital/capital/profits) 擁有控制股權的個人（即擁有或控制超過指明百分率^的已發行股本/資本/利潤）	<input type="checkbox"/>
	Individual who exercises control/is entitled to exercise control through other means (i.e. more than the specified percentage ^ of voting rights) 以其他途徑行使控制權或有權行使控制權的個人（即有權行使超過指明百分率^的表決權）	<input type="checkbox"/>
	Individual who holds the position of senior managing official/exercises ultimate control over the management of the entity 擔任該實體的高級管理人員/對該實體的管理行使最終控制權的個人	<input type="checkbox"/>
Trust 信託	Settlor 財產授予人	<input type="checkbox"/>
	Trustee 受託人	<input type="checkbox"/>
	Protector or enforcer 保護人或執行人	<input type="checkbox"/>
	Beneficiary or member of the class of beneficiaries 受益人或某類別受益人的成員	<input type="checkbox"/>
	Other (e.g. individual who exercises control over another entity being the settlor/trustee/protector or enforcer/beneficiary) 其他（例如：如財產授予人/受託人/保護人或執行人/受益人為另一實體，對該實體行使控制權的個人）	<input type="checkbox"/>
Legal Arrangement other than Trust 除信託以外的 法律安排	Individual in a position equivalent/similar to settlor 處於相等/相類於財產授予人位置的個人	<input type="checkbox"/>
	Individual in a position equivalent/similar to trustee 處於相等/相類於受託人位置的個人	<input type="checkbox"/>
	Individual in a position equivalent/similar to protector or enforcer 處於相等/相類於保護人或執行人位置的個人	<input type="checkbox"/>
	Individual in a position equivalent/similar to beneficiary or member of the class of beneficiaries 處於相等/相類於受益人或某類別受益人的成員位置的個人	<input type="checkbox"/>
	Other (e.g. individual who exercises control over another entity being equivalent/similar to settlor/trustee/protector or enforcer/beneficiary) 其他（例如：如處於相等/相類於財產授予人/受託人/保護人或執行人/受益人位置的人為另一實體，對該實體行使控制權的個人）	<input type="checkbox"/>

## Part 5 Declarations and Signature

### 第5部 聲明及簽署

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by Fubon Bank for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the controlling person and any reportable account(s) may be reported by Fubon Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with tax authorities of another jurisdiction or jurisdictions in which the controlling person may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人知悉及同意，富邦銀行可根據《稅務條例》（第112章）有關交換財務賬戶資料的法律條文，（a）收集本表格所載資料並可備存作自動交換財務賬戶資料用途及（b）把該等資料和關於控權人及任何須申報賬戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到控權人的居留司法管轄區的稅務當局。

I certify that I am the controlling person / I am authorized to sign for the controlling person<sup>#</sup> of all the account(s) held by the entity account holder(s) to which this form relates.

本人證明，就與本表格所有相關的實體賬戶持有人所持有的賬戶，本人是控權人 / 本人獲控權人授權簽署本表格<sup>#</sup>。

I undertake to advise Fubon Bank of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Fubon Bank with a suitably updated self-certification form within 30 days of such change in circumstances.

本人承諾，如情況有所改變，以致影響本表格第1部所述的個人的稅務居民身分，或引致本表格所載的資料不正確，本人會通知富邦銀行，並會在情況發生改變後30日內，向富邦銀行提交一份已適當更新的自我證明表格。

**I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.**

本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signature 簽署 \_\_\_\_\_

Signature Verified by:

Name 姓名 \_\_\_\_\_

Date (dd/mm/yyyy)  
日期（日/月/年） \_\_\_\_\_

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.)

Capacity 身分 \_\_\_\_\_

（如你不是第1部所述的個人，說明你的身分。如果你是以受權人身分簽署這份表格，須夾附該授權書的核證副本。）

<sup>^</sup> With effect from 1 January 2021, the specified percentage is: 25% in relation to a corporation; and 0% in relation to a partnership.

<sup>^</sup> 自2021年1月1日起，就法團而言，指明百分率是25%，就合夥而言，指明百分率是0%。

<sup>#</sup> Delete as appropriate

<sup>#</sup> 刪去不適用者

**WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).**

警告: 根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級（即\$10,000）罰款。

For Bank Use Only 銀行専用		Completed by Account Officers
<b>CIF No:</b>		
<b>AO Code:</b>		
CRS Checking		
<b>Remark (if applicable):</b>		
<b><u>Prepared by:</u></b> Name :  Signature :  Date :		<b><u>Checked by:</u></b> Name :  Signature :  Date :

Completed by System Input Staff	
<b>Input by:</b>	<b>Date:</b>
<b>Checked by:</b>	<b>Date:</b>