



## **IT Compliance Manager, Information Technology**

### **Responsibilities:**

- Assist Head of IT Compliance to formulate and implement an IT governance framework; to coordinate and manage IT compliance/control activities; internal and external audit exercises, gap analysis of regulatory requirements and compliance assessments.
- Assess the effectiveness of IT controls within the division/department through completing compliance assessments and gap analysis to ensure the Bank's existing control measures are able to fulfill existing and new regulatory requirements.
- Coordinate internal, external and regulatory audit exercises by arranging all IT parties to provide supporting documents within the agreed timeframe, and to formulate the remedial actions.
- Track the action plans of the control deficiencies arising from internal/external assessments to ensure they are resolved timely and properly.
- Prepare IT operational risk event reports and monitor in according to the established criteria.
- Coordinate regular review on IT policies, guidelines and SOPs.
- Promote and enforce regulators' and the Bank's technology risk management policies and standards through IT training and information sharing sessions.
- Coordinate IT internal and IT management meetings.
- Assist the division/department heads to ensure adherence to the internal control policies & procedures and compliance with applicable legal and regulatory requirement.
- Maintain regular contact with key business units involved in IT activities, internal and external auditors, legal and compliance department, operational risk management departments and regulators.
- Attend external IT risk and control related seminars or conference to stay abreast of the technology risk management trends in improving the Bank's IT governance framework.

### **Requirements:**

- Degree holder or above in Information Technology / Computer Science or equivalent.
- At least 5 years' experience in IT Audit, Technology Risk Management or IT Compliance.
- Working experience in banking industry would be added advantage.
- Hands-on experience in IT audit, HKMA regulatory requirements, IT service/operations management and information/system security.
- Holders of relevant professional credentials preferred (e.g. CISA, CISSP, CIA, ISO27001, CRISC and ITIL).