

Fubon Bank (Hong Kong) Limited (the “Bank”) Securities Services List of Service Charges

Service Item **Fee** (Effective 1 Apr 2024)

Hong Kong Stock Trade-related Services	
Brokerage commission FB Invest+ and Internet stock trading service	0.15% on transaction amount (min. HK\$80)
Manned stock trading service	0.30% on transaction amount (min. HK\$100)
Transaction levy (Charged by SFC)	0.0027% on transaction amount
Transaction levy (Charged by AFRC)	0.00015% on transaction amount
Trading fee (Charged by HKEx)	0.00565% on transaction amount
CCASS fee (Charged by HKSCC)	0.002% on transaction amount (min. HK\$2, max. HK\$100)
Stamp duty (Charged by HKSAR Government)	0.1% on transaction amount (rounding up to nearest dollar) (if applicable)
Italian Financial Transaction Tax (IFTT) (Collected for Italian Government)	0.1%, Tax amount is calculated based on the weighted average purchase price and the net purchase share quantity of the same Italian securities executed on the same trade day
Scrip Handling and Settlement-related Services	
Physical scrip deposit fee	HK\$5 per lot (min. HK\$30) + transfer duty HK\$5 per transfer form / share certificate HK\$5 per lot (min. HK\$30) + CCASS fee at HK\$3.5 per lot
Physical scrip withdrawal fee	
Stock deposit fee (via CCASS)	Waive
Stock withdrawal fee (via CCASS)	HK\$5 per lot (min. HK\$30) + CCASS fee 0.002% on transaction amount (min. HK\$2, max. HK\$100) + settlement instruction fee HK\$1 per transaction
Stock registration fee	HK\$5 per lot (min. HK\$100) + registrar fee + stamp duty + courier fee (if applicable)
Compulsory share buy-back fee	HK\$200 per transaction + trade-related services fee + CCASS default fee + related charges*
Account Maintenance	
Custody fee	HK\$0.15 per lot (min. HK\$100) calculated on portfolio volume on 31 May and 30 November, and charge semi-annually
Daily / monthly statement request	HK\$100 per statement
Nominee Services and Corporate Actions	
Scrip fee	HK\$1.5 per lot
Cash dividend collection fee	0.5% on dividend amount (min. HK\$30)
Scrip dividend collection fee	HK\$5 per lot (min. HK\$30)
Bonus issue collection fee	HK\$5 per lot (min. HK\$30)
Handling fee for cash offer and other corporate action with cash consideration	0.5% on cash consideration (min. HK\$30, max. HK\$2,500) + corporate action fee HK\$0.8 per lot + stamp duty (if applicable)
Handling fee for unclaimed benefit	HK\$200 per distribution claim + physical scrip deposit fee + cash dividend / scrip dividend / bonus issue collection fee + CCASS fee + registrar fee
Stock consolidation / subdivision / conversion / merger handling fee	HK\$10 per transaction + corporate action fee HK\$0.8 per lot (if applicable)
Covered warrants / callable bull / callable bear exercise fee	0.5% on exercise amount (min. HK\$30, max. HK\$2,500) + corporate action fee HK\$0.8 per lot
Warrants / rights exercise fee	HK\$2 per lot (min. HK\$30) + stock deposit fee HK\$5 per lot + corporate action fee HK\$0.8 per lot
Voting fee	HK\$30 per stock

Service Item	Fee
Financing and Other Services	
Securities subscription handling charge	HK\$100 per application
Securities subscription financing charge	Negotiable
Facilities Interest Rate	FUBON BANK HKD Prime Rate +1% p.a.
Information Services (Service Provider : AAStocks.com Limited)	
Real-time snapshot quote - First 300 quotes per month - Per extra quote	Free HK\$0.07 per quote
Real-time streaming quote	HK\$360 per month

US Stock	
Brokerage commission SEC fee (sell trade only)	0.3% on transaction amount (min. US\$35) Subject to SEC latest rules and charges**
ADR handling fee	US\$0.01-0.05 per share (collected by ADR issuer)
Stock deposit / withdrawal fee Custody fee	US\$70 per stock 0.12% p.a. on portfolio value at the end of each month (min. US\$5, charge monthly)
Cash / scrip dividend collection fee	2.25% on dividend amount (min. US\$15) + 30% withholding tax
Bonus issue collection fee	US\$15 per stock + 30% withholding tax (if applicable)
Handling fee for cash offer and other corporate action with cash consideration	2.25% on cash consideration (min. US\$15) + withholding tax (if applicable)
Corporate action handling fee	US\$30 per stock + relevant charges*

Taiwan Stock	
Brokerage commission Transaction tax (sell trade only)	0.3% on transaction amount (min. NT\$500) Equity - 0.3% on transaction amount Exchange Trade Funds - 0.1% on transaction amount
Transaction fee Custody fee	NT\$900 per stock purchase / sale per day 0.1%/365 on daily portfolio (min. NT\$200, charge monthly)
Cash / scrip dividend collection fee	0.5% on dividend amount (min. NT\$600) + 21% withholding tax* + remittance charge
Bonus issue collection fee	NT\$400 per stock + 21% withholding tax* + remittance charge
Handling fee for cash offer and other corporate action with cash consideration	0.5% on cash consideration (min. NT\$600) + withholding tax (if applicable) + remittance charge
Corporate action handling fee	NT\$600 per stock + relevant charges*
Warrants / rights exercise fee	NT\$600 per stock + remittance charge
Voting fee	NT\$3,000 per stock
Remittance charge	NT\$1,000 per transaction

Japan Stock	
Brokerage commission Stock deposit / withdrawal fee	0.4% on transaction amount (min. ¥4,000) ¥10,000 per stock
Custody fee	0.15% p.a. on portfolio value at the end of each month (min. ¥350, charge monthly)
Cash / scrip dividend collection fee	0.5% on dividend amount (min. ¥600) + 15.315% withholding tax + remittance charge
Handling fee for cash offer and other corporate action with cash consideration	0.5% on cash consideration (min. ¥600) + withholding tax (if applicable) + remittance charge
Corporate action handling fee	¥4,000 per stock + relevant charges*
Remittance charge	¥10,000 per transaction

Service Item	Fee
Singapore Stock	
Brokerage commission	0.5% on transaction amount (min. S\$150 / US\$50)
Clearing fee	0.0325% on transaction amount (max. S\$600)
Physical scrip deposit fee	S\$200 per stock + S\$10 per share certificate + courier charge
Physical scrip withdrawal fee	S\$270 per stock + S\$70 per share certificate + courier charge
Stock deposit / withdrawal fee Custody fee	S\$100 per stock 0.12% p.a. on portfolio value at the end of each month (min. S\$7, charge monthly)
Cash / scrip dividend collection fee	1.5% on dividend amount (min. S\$50 / US\$40) + remittance charge
Bonus issue collection fee	S\$50 per stock
Handling fee for cash offer and other corporate action with cash consideration	0.5% on cash consideration (min. S\$50 / US\$40) + remittance charge
Corporate action handling fee	S\$50 per stock + relevant charges*
Remittance charge	S\$60/US\$50 per transaction

Other Foreign Markets	
Custody fee	HK\$400 per month (charge monthly)
Cash / scrip dividend collection fee	0.5% on dividend amount (min. HK\$300) + withholding tax (if applicable)

China B (Shenzhen)	
Brokerage commission Stamp duty (Charged by State Administration of Taxation (SAT))	0.4% on transaction amount (min. HK\$300) 0.1% on transaction amount (sell order only)
Settlement fee	0.002% on transaction amount (max. HK\$500)
Transaction levy	0.00487% on transaction amount
Administrative fee	0.002% on transaction amount
Stock deposit / withdrawal fee	HK\$500 per stock + courier charges (if applicable)
Custody fee	HK\$35 per month (charge monthly)
Cash / scrip dividend collection fee	1.5% on dividend amount (min. HK\$100) + 10% withholding tax (Charged by State Administration of Taxation (SAT))
Bonus issue collection fee	HK\$100 per stock + 10% withholding tax (Charged by State Administration of Taxation (SAT)) (if applicable)
Handling fee for cash offer and other corporate action with cash consideration	1.5% on cash consideration (min. HK\$100) + withholding tax (Charged by State Administration of Taxation (SAT)) (if applicable)
Corporate action handling fee	HK\$250 per stock + relevant charges*

China B (Shanghai)	
Brokerage commission Stamp duty (Charged by State Administration of Taxation (SAT))	0.4% on transaction amount (min. US\$70) 0.1% on transaction amount (sell order only)
Settlement fee	0.002% on transaction amount (max. US\$50)
Transaction levy	0.00487% on transaction amount
Administrative fee	0.002% on transaction amount
Stock deposit / withdrawal fee	US\$70 per stock + courier charges (if applicable)
Custody fee	US\$5 per month (charge monthly)
Cash / scrip dividend collection fee	0.5% on dividend amount (min. US\$15) + 10% withholding tax (Charged by State Administration of Taxation (SAT))
Bonus issue collection fee	US\$15 per stock + 10% withholding tax (Charged by State Administration of Taxation (SAT)) (if applicable)
Handling fee for cash offer and other corporate action with cash consideration	0.5% on cash consideration (min. US\$15) + withholding tax (Charged by State Administration of Taxation (SAT)) (if applicable)
Corporate action handling fee	US\$30 per stock + relevant charges*

Service Item	Fee
SSE / SZSE Securities (Stock Connect-Shanghai / Shenzhen)	
Brokerage commission	0.4% on transaction amount (min. RM\$300)
Stamp duty (Charged by State Administration of Taxation (SAT))	0.1% on transaction amount (sell order only)
Handling fee (Charged by SSE/ SZSE)	0.00487% on transaction amount
Securities management fee (Charged by CSRC)	0.002% on transaction amount
Transfer fee (Charged by ChinaClear, HKSCC)	0.001% on transaction amount (payable to ChinaClear) 0.002% on transaction amount (payable to CCASS)
Stock deposit fee	0.01% on stock value of previous closing price (min. RM\$30, max. RM\$2,500)
Stock withdrawal fee	0.01% on stock value of previous closing price (min. RM\$30) + handling charges RM\$300
Custody fee	RM\$0.15 per lot (min. RM\$20) calculated on portfolio volume by month end, and charge monthly
Portfolio Fee	Charged monthly at 0.008%/365 on daily portfolio
Cash / scrip dividend collection fee	0.75% on dividend amount (min. RM\$50) + 10% withholding tax (Charged by State Administration of Taxation (SAT))***
Bonus issue collection fee	RM\$100 per stock + 10% withholding tax (Charged by State Administration of Taxation (SAT))*** (if applicable)
Corporate action handling fee	RM\$250 per stock + relevant charges*
Capital Gain tax	Exempted (Charged by State Administration of Taxation (SAT))***

Terms & Conditions:

- Odd lots are charged as board lots.
- Except otherwise mentioned, all charges are charged on the “per transaction”, “per stock”, and “per day” basis.
- If the customer’s settlement account(s) balance cannot settle the fee(s) in full, the Bank reserves the right to set off the fee(s) at any of the customer’s account(s) with the Bank.
- For Hong Kong Stock: Cash dividend collected in foreign currency will be credited to customer’s HKD settlement account. For securities other than Hong Kong Stock: Cash dividend collected in foreign currency will be credited to the customer’s settlement account in the same currency. If there is no such settlement account, cash dividend payment will be converted and credited to the customer’s available settlement account. Under such circumstance, the exchange rate will be determined by the Bank based on the then-prevailing market rates of exchange between the two currencies.
- (*)Customer may be required to pay the costs, fees and charges incurred by the Bank or charged by third parties such as government, regulatory bodies, foreign agents and other service providers in relation to the securities trading, custodian and/or nominee services provided by the Bank. Such third party costs, fees and charges may vary from time to time and will be determined by the Bank. A special handling charge may be imposed on a case by case basis where appropriate. Details will be available upon request.
- (**)SEC fee is subject to review by U.S. Securities Commission (SEC) from time to time.
- (***)Collected based on the tax rate determined by State Administration of Taxation (SAT).

Contact Fubon Bank Securities Department

Business Hours
Monday – Friday
(except Public Holidays)
8:30am - 5:30pm

Manned Stock Trading Hotlines

2842 6262 (Hong Kong)
00801 855 978 (Taiwan)

Securities Services Centres

Tsuen Wan 2842 1628

Note:

The information in this fee table is for customer’s reference with regard to the charges for various services offered by Fubon Bank (Hong Kong) Limited (the “Bank”).

The listed charges are valid as at April 2024 and are subject to change. The Bank reserves the right to revise or introduce any service charge from time to time. For charges not contained in this fee table, please contact our branch staff for details.

All fees and charges determined and collected by the Government of Hong Kong Special Administrative Region / Hong Kong Exchanges and Clearing Limited / Securities and Futures Commission / Hong Kong Securities Clearing Company Limited / The Stock Exchange of Hong Kong Limited / General Rules of the Hong Kong Association of Banks / Accounting and Financial Reporting Council / Shanghai Stock Exchange / Shenzhen Stock Exchange / China Securities Regulatory Commission / China Securities Depository and Clearing Corporation Limited and State Administration of Taxation are subject to change without prior notice.

Should there be any inconsistency between the English and Chinese versions of this schedule, the English version shall prevail.