

January 2020

Notice of Updates to Investor Compensation Levy

Please be informed that the Investor Compensation Levy related rules of the Securities and Futures Ordinance are amended and come into effective on 1 January 2020. The investor compensation regime will be expanded to cover every purchase or sale of China Connect Securities or Special China Connect Securities through the China Connect Service (i.e. Northbound trading). As a consequence, such purchases and sales may also be subject to an Investor Compensation Levy, similar to all trading activities conducted in the Hong Kong securities market.

Should you have any queries, please call Securities Services Hotline at 2842 7575 during office hours*.

* Office hours: 8:30 am to 5:30 pm from Monday to Friday (except Saturday, Sunday and Public Holidays).