

30 March 2026

Notice to Customers Regarding Changes and Discontinuation of Stock Trading Services

Please be informed that Fubon Bank (Hong Kong) Limited (“**Bank**”) will change, and subsequently discontinue, stock trading services for securities accounts as part of a strategic business realignment. This initiative is intended to enhance the customer experience and aligns entities with core competencies, in accordance with the timetable below (each subject to applicable laws, regulatory requirements, market/operational conditions and the Bank’s prevailing terms and procedures):

- From the end of the trading hours on **29 May 2026 (Friday)** (the “**Buy and Deposit Order Cut-off Time**”): The Bank will stop accepting (i) stock buy orders; and (ii) stock transfer-in/deposit instructions (including deposits into the Bank’s custody/nominee).
- From the end of the trading hours on **30 June 2026 (Tuesday)** (the “**Trading Service Cut-off Time**”): The Bank will discontinue stock trading services for your securities account. After the Trading Service Cut-off Time, you will not be able to place stock buy or sell orders with the Bank.

The service for opening securities accounts via “Fubon GO” and “Internet Banking Service” will be discontinued after 29 May 2026 (Friday).

To help you transition smoothly before the Trading Service Cut-off Time, please act as soon as practicable. You are free to choose any broker, financial institution or custodian, and we respect and will support whichever option you decide to take. We will provide all practicable assistance to facilitate your transition and your transfer-out instructions.

You may wish to consider one of the options below (each subject to processing time, cut-off times and acceptance by the relevant counterparty/institution):

Option 1: Open (subject to acceptance) a securities account with Fubon Securities (Hong Kong) Limited (“*Fubon Securities (Hong Kong)*”) and transfer your stock holdings from the Bank to that account.

- **Transfer fee waiver:** The Bank will not charge its transfer-out handling fees for such transfers. Additionally, Fubon Securities (Hong Kong) has informed us that it will not charge its transfer-in handling fees for such transfers. Please note however, that any third-party fees, taxes, levies or charges (if applicable) may still apply (e.g., those charged by registrars, custodians, clearing systems or other intermediaries).
- **Account opening:** Please visit <https://www.fubonsec.com.hk/zh-hk/Custom/Account> for information on opening an account. Account opening is subject to Fubon Securities (Hong Kong)’s procedures, eligibility requirements and approval.

Option 2: Transfer your stock holdings to another financial institution/custodian of your choice.

We respect your decision as to where you maintain your investments. The Bank will work with you (and, where appropriate, the receiving institution/custodian) to process your transfer-out instructions and provide the practicable documentation and operational support required for the transfer. Please note, however, that account opening and transfer timing may vary depending on the receiving institution’s processes and normal

market/operational conditions, please therefore, allow time to complete the steps and plan your trading accordingly. The Bank will not charge its transfer-out handling fees. Third-party fees and requirements may apply.

Option 3: Sell your stock holdings before the end of the trading hours on 30 June 2026 (i.e. the “Trading Service Cut-off Time”) and continue using your securities account for other investment products.

If you prefer not to transfer your holdings, you may place sell orders through the Bank and close out your stock positions before the end of the trading hours on 30 June 2026 (i.e. the “Trading Service Cut-off Time”). However, please note that your securities account may remain available for other investment products and services that the Bank continues to offer (as applicable), such as bonds, unit trusts and equity-linked investments, subject to product availability and the Bank’s prevailing terms, procedures and eligibility requirements.

HELPFUL REMINDERS

- Taking prompt action helps smooth transition:** Please provide any instructions for (i) stock buy orders; and/or (ii) stock transfer-in or deposit (including deposits into the Bank’s custody/nominee) before the end of the trading hours on 29 May 2026 (i.e. the “Buy and Deposit Order Cut-off Time”) to avoid experiencing any delays in transferring holdings.
- Processing time and cut-off times:** Transfers and other instructions require processing time and may be affected by incomplete documentation, holidays, market disruption, or third-party processing (e.g., CCASS/clearing or custodian timelines). To allow sufficient time, please let us have your instructions as early as possible.
- Information to help you decide (not advice):** This notice is meant to help you understand the upcoming changes. It is not investment, legal, tax or other advice. Please make your own decision based on your circumstances and, if you think it would be helpful, speak with a professional adviser.
- Sale of securities:** The Bank will not sell your securities without your instruction, except to the extent permitted or required under applicable law/regulatory requirements, court order, or the Bank’s terms.
- For Holders of Equity Linked Investments (“ELI”), Over the Counter Equity Covered Call Options (“CCO”) and Share Margin Overdraft (“SMOD”):** If you hold any ELI, CCO or SMOD and would like more information about the arrangements for transitioning these products, please contact your relationship manager.

To help you maintain uninterrupted trading capability after the end of the trading hours on 30 June 2026 (i.e. the “Trading Service Cut-Off Time”), we encourage you to consider and adopt one of the options above as soon as practicable. Thank you for your attention to this matter.

We greatly value your relationship with us and sincerely thank you for your continued trust and understanding. This change is part of our ongoing effort to align and enhance our services across the Fubon Group, ensuring a stronger, more integrated investment experience for our valued clients. Our team remains committed to supporting you every step of the way.

If you have any questions, please contact your relationship manager/account officer, call our Securities Services Hotline at (852) 2842 7575 (*Monday to Friday, from 8:30 am to 5:30 pm, excluding public holidays*), or visit any one of our branches during office hours. We are also happy to help you understand the relevant paperwork and forms, the usual information required, and indicative timelines and cut-off times (subject to third-party processing and the Bank's prevailing terms and procedures).

IMPORTANT NOTICE: This notice (and any action we take under it) does not, and is not intended to, change, prejudice, override or affect any: (a) applicable court or tribunal order; (b) regulatory requirement; (c) sanctions requirement; (d) AML/CTF requirement; (e) restriction affecting your securities account (including any freezing, attachment or garnishee-type order); or (f) grant of probate, letters of administration, or any similar estate administration, guardianship or trust instrument. If there is any inconsistency, the relevant order, requirement or instrument will prevail, and the Bank will act only to the extent permitted by it. Where permitted, and subject to applicable confidentiality/banking secrecy/data privacy requirements, any tipping-off restrictions, and any directions from any court, regulator or authority, the Bank will send a copy of this notice to the relevant parties that issued, administer or enforce those orders, requirements or instruments, including courts/tribunals, regulators, law enforcement agencies, court-appointed office-holders, and any executors, administrators or trustees.